

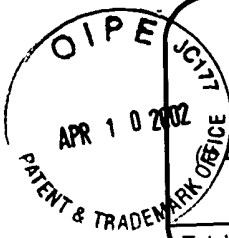
1625

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☒

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

to be used for all correspondence after initial filing)

| | | |
|--|------------------------|-----------------|
| TRANSMITTAL FORM to be used for all correspondence after initial filing) | Application Number | 09/642,034 ✓ |
| | Filing Date | August 18, 2000 |
| | First Named Inventor | |
| | Group Art Unit | 6513 |
| | Examiner Name | Baskar, P. |
| Total Number of Pages in This Submission | Attorney Docket Number | 018501-009700US |

ENCLOSURES (check all that apply)

| | | |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosures (please identify below): Return Postcard, Statement Under 3.73(b) |
|---|---|---|

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|--------------------------|---|-----------------|
| Firm and Individual name | Townsend and Townsend and Crew LLP Kevin Bastian | Reg. No. 34,774 |
| Signature | | |
| Date | March 27, 2002 | |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: March 27, 2002

| | |
|-----------------------|----------------------|
| Typed or printed name | Allison D. Frederick |
| Signature | |
| Date | March 27, 2002 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
SF 1330001 v1

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PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035
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| | | |
|--|---|---|
| POWER OF ATTORNEY OR AUTHORIZATION OF AGENT | Application Numbers and | As set forth in <u>Schedule A</u> appended |
| | Filing Dates and Attorney Docket Numbers | hereto |
| | | |
| | | |

I hereby appoint:

☒ Practitioners at Customer Number

20350

Place Customer
Number Bar Code
Label here

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

AND

I hereby revoke all previous powers of attorney or authorizations of agent given as set forth in Schedule A appended hereto.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

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☐ Practitioners at Customer Number

APR 15 2002

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☐ Firm or
Individual Name

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

David W. Martin, Jr.; President & CEO

Signature

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
SF 1323859 v1

Schedule A (as attached to PTO/SB/81)

| <u>Application No.</u> | <u>Filing Date</u> | <u>Attorney Docket No.</u> |
|------------------------|--------------------|----------------------------|
| 09/738,873 | 12/15/00 | 18501-011000US |
| 09/733,320 | 12/8/00 | 18501-009710US |
| 09/439,878 | 11/12/99 | 18501-009300US |
| 09/702,257 | 10/30/00 | 18501-009500US |
| 09/642,077 | 8/18/00 | 18501-009900US |
| 09/642,034 | 8/18/00 | 18501-009700US |
| 09/525,361 | 3/15/00 | 18501-009130US |
| 09/747,371 | 12/21/00 | 18501-005000US |
| 09/268,865 | 3/15/99 | 18501-009100US |
| 09/453,137 | 12/2/99 | 18501-009120US |
| 09/656,002 | 9/6/00 | 18501-008500US |
| 09/642,252 | 8/17/00 | 18501-007300US |
| 09/581,588 | 5/8/01 | 18501-007310US |
| 09/435,945 | 11/9/99 | 18501-007500US |
| 09/657,960 | 9/8/00 | 18501-010200US |
| 09/437,528 | 11/10/99 | 18501-005700US |
| 09/738,877 | 12/15/00 | 18501-007800US |
| 09/658,341 | 9/8/00 | 18501-009400US |
| 09/608,821 | 6/30/00 | 18501-008900US |
| 09/434,197 | 11/4/99 | 18501-005800US |
| 09/450,857 | 11/29/99 | 18501-006110US |
| 09/453,850 | 12/2/99 | 18501-006120US |
| 09/450,810 | 11/29/99 | 18501-009110US |
| 09/525,993 | 3/15/00 | 18501-006140US |
| 09/637,977 | 8/11/00 | 18501-006510US |
| 09/642,252 | 8/17/00 | 18501-007300US |
| 09/733,288 | 12/8/00 | 18501-008200US |
| 09/847,046 | 4/30/01 | 18501-008210US |
| 09/733,756 | 12/8/00 | 18501-008400US |
| 09/747,371 | 12/21/00 | 18501-005000US |
| 09/828,307 | 4/6/01 | 18501-008910US |
| 09/791,390 | 2/22/01 | 18501-007910US |
| 09/544,494 | 4/7/00 | 18501-009000US |
| 09/525,978 | 3/15/00 | 18501-008610US |
| 09/702,216 | 10/30/00 | 18501-009600US |
| 09/687,576 | 10/13/00 | 18501-010800US |
| 09/493,444 | 1/28/00 | 18501-006130US |
| 09/663,733 | 9/15/00 | 18501-003100US |

| | | |
|--------------|----------|----------------|
| 09/733,757 | 12/8/00 | 18501-010600US |
| 09/437,707 | 11/10/99 | 18501-006900US |
| 09/439,878 | 11/12/99 | 18501-009300US |
| 09/270,214 | 3/15/99 | 18501-008700US |
| 09/440,493 | 11/15/99 | 18501-006600US |
| 09/440,677 | 11/16/99 | 18501-009200US |
| 09/642,248 | 8/17/00 | 18501-007400US |
| 09/520,478 | 3/8/00 | 18501-006610US |
| 6,294,343 B1 | 9/25/01 | 18501-007600US |
| 6,057,100 | 5/2/00 | 18501-008810US |
| 6,316,272 B1 | 11/13/01 | 18501-006100US |
| 09/440,676 | 11/16/99 | 18501-005400US |
| 09/733,742 | 12/8/00 | 18501-010700US |

Attorney Docket No. as set forth in Schedule A appended hereto

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: _____

Application No./Patent No.: as set forth in Schedule A appended hereto Filed/Issue Date: _____

Entitled: _____

Eos Biotechnology, Inc. _____, a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel ___, Frame ___, as set forth in Schedule C appended hereto

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel ___, Frame ___, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel ___, Frame ___, or for which a copy thereof is attached.

3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel ___, Frame ___, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

March 20 2002
Date

David W. Martin, Jr.
Signature
David W. Martin, Jr.
Typed or printed name
President & CEO
Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SF 1323855 v1



Schedule A (as attached to PTO/SB/96 3.73(b))

| <u>Application No.</u> | <u>Filing Date</u> | <u>Assignment Information</u> | <u>Attorney Docket No.</u> |
|------------------------|--------------------|-------------------------------|----------------------------|
| 09/738,873 | 12/15/00 | Reel 011998, Frame 0809 | 18501-011000US |
| 09/733,320 | 12/8/00 | Reel 011362, Frame 0190 | 18501-009710US |
| 09/439,878 | 11/12/99 | Reel 010607, Frame 0398 | 18501-009300US |
| 09/702,257 | 10/30/00 | Reel 011270, Frame 0074 | 18501-009500US |
| 09/642,077 | 8/18/00 | Reel 011055, Frame 0413 | 18501-009900US |
| 09/642,034 | 8/18/00 | Reel 011055, Frame 0264 | 18501-009700US |
| 09/525,361 | 3/15/00 | Reel 011107, Frame 0632 | 18501-009130US |
| 09/747,371 | 12/21/00 | Reel 011681, Frame 0030 | 18501-005000US |
| 09/268,865 | 3/15/99 | Reel 010096, Frame 0512 | 18501-009100US |
| 09/453,137 | 12/2/99 | Reel 010709, Frame 0664 | 18501-009120US |
| 09/656,002 | 9/6/00 | Reel 011069, Frame 0305 | 18501-008500US |
| 09/642,252 | 8/17/00 | Reel 011053, Frame 0360 | 18501-007300US |
| 09/581,588 | 5/8/01 | Reel 012246, Frame 0289 | 18501-007310US |
| 09/435,945 | 11/9/99 | Reel 010687, Frame 0143 | 18501-007500US |
| 09/657,960 | 9/8/00 | Reel 011090, Frame 0848 | 18501-010200US |
| 09/437,528 | 11/10/99 | Reel 011794, Frame 0561 | 18501-005700US |
| 09/738,877 | 12/15/00 | Reel 011998, Frame 0831 | 18501-007800US |
| 09/658,341 | 9/8/00 | Reel 011079, Frame 0211 | 18501-009400US |
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| 09/747,371 | 12/21/00 | Reel 011681, Frame 0030 | 18501-005000US |
| 09/828,307 | 4/6/01 | Reel 012029, Frame 0905 | 18501-008910US |
| 09/791,390 | 2/22/01 | Reel 012003, Frame 0620 | 18501-007910US |
| 09/544,494 | 4/7/00 | Reel 011194, Frame 0861 | 18501-009000US |
| 09/525,978 | 3/15/00 | Reel 011209, Frame 0982 | 18501-008610US |
| 09/702,216 | 10/30/00 | Reel 011269, Frame 0528 | 18501-009600US |
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| 09/493,444 | 1/28/00 | Reel 010879, Frame 0260 | 18501-006130US |
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| 09/439,878 | 11/12/99 | Reel 010607, Frame 0398 | 18501-009300US |
| 09/270,214 | 3/15/99 | Reel 010019, Frame 0584 | 18501-008700US |
| 09/440,493 | 11/15/99 | Reel 010702, Frame 0627 | 18501-006600US |
| 09/440,677 | 11/16/99 | Reel 010630, Frame 0298 | 18501-009200US |
| 09/642,248 | 8/17/00 | Reel 011053, Frame 0438 | 18501-007400US |
| 09/520,478 | 3/8/00 | Reel 010917, Frame 0513 | 18501-006610US |
| 6,294,343 B1 | 9/25/01 | Reel 010732, Frame 0228 | 18501-007600US |
| 6,057,100 | 5/2/00 | Reel 010504, Frame 0258 | 18501-008810US |
| 6,316,272 B1 | 11/13/01 | Reel 010096, Frame 0518 | 18501-006100US |
| 09/440,676 | 11/16/99 | Reel 010614, Frame 0504 | 18501-005400US |
| 09/733,742 | 12/8/00 | Reel 011365, Frame 0832 | 18501-010700US |